

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Miles William CARROLL et al.

Title: POLYPEPTIDE

Appl. No.: 09/533,798

Filing Date: 3/24/2000

Examiner: Unassigned

Art Unit: 1643



**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on June 15, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- Declaration and Power of Attorney (4 pages)
- Verified Statement Claiming Small entity Status (37 C.F.R. 1.9(f) and 1.27 (c)) (2 pgs.)
- Copy of Utility Patent Application Transmittal (2 pgs.)
- Copy of Preliminary Amendment (5 pgs.)
- Copy of PTO Date Stamped Receipt Postcard (1 pg.)
- Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)

[X] Check in the amount of \$1,618.00 in payment of the \$690.00 official Government filing fee, including \$130.00 surcharge; \$252.00 for (14) fourteen additional Dependent claims; \$546.00 for (7) additional Independent claims. *Due to unintentional miscalculations by the applicants, the fee amounts reflected on the Utility Patent Application Transmittal were incorrect. Therefor, in order to clarify the amount due, we enclose a copy of the Utility Patent Application Transmittal Letter and the PTO Date stamped return Postcard, dated March 24, 2000, along with a copy of the Preliminary Amendment filed therewith.*

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By 

Date June 26, 2000

FOLEY & LARDNER
Washington Harbour
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Washington, D.C. 20007-5109
Telephone: (202) 672-5427
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Bernhard D. Saxe
Attorney for Applicant
Registration No. 28,665

FORMALITIES LETTER



OC00000005178470
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/533,798	03/24/2000	Miles William Carroll	078883/0120

Bernhard D Saxe
 Foley & Lardner
 Washington Harbour
 3000 K Street N W Suite 500
 Washington, DC 20007-5109



Date Mailed: 06/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$912.
 - \$288 for 16 total claims over 20.
 - \$624 for 8 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1732.**

07/21/2000 KHARLING 00000021 09533798 copy of this notice **MUST** be returned with the reply.01 FC:202
02 FC:20378.00 OP <
18.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

Adjustment date: 07/21/2000 KHARLING PART 2 - COPY TO BE RETURNED WITH RESPONSE
 06/29/2000 NLUANG 00000023 09533798
 05 FC:299 -809.00 OP

 Ref: 07/21/2000 KHARLING 00000021 09533798
 Name/Number: 00000023 09533798
 FC: 704
 \$713.00 CR

 345.00
 65.00
 126.00
 273.00
 809.00

 06/29/2000 NLUANG 00000023 09533798
 FC: 704
 \$713.00 CR

 01 FC:201
 02 FC:205
 03 FC:203
 04 FC:202
 05 FC:299

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Miles William CARROLL et al

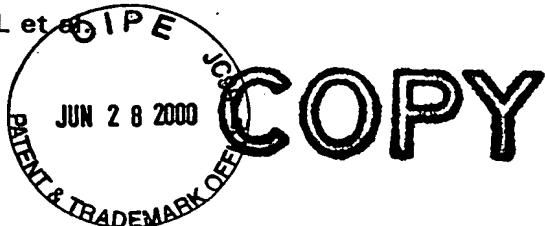
Title: POLYPEPTIDE

Appl. No.: Unassigned

Filing Date: March 24, 2000

Examiner: Unassigned

Art Unit: Unassigned

**UTILITY PATENT APPLICATION**
TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Miles William CARROLL
Kevin Alan MYERS

Enclosed are:

- [X] Specification, Claim(s), and Abstract (75 pages).
- [X] Informal drawings (14 sheets, Figures 1-9).
- [X] Unexecuted Declaration and Power of Attorney (4 pages).
- [X] Preliminary Amendment (5 pgs).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$690.00	\$690.00
Total Claims:	36	- 20	= 16	x \$18.00	\$288.00
Independents:	17	- 3	= 14	x \$78.00	\$1,092.00
If any Multiple Dependent Claim(s) present:				+ \$260.00	\$0.00
				SUBTOTAL:	\$2,070.00
[]					\$0.00
				Surcharge Under 37 C.F.R. § 1.16(e):	\$130.00
				TOTAL FILING FEE:	\$2,200.00

- [X] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

By 

Date March 24, 2000

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